



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Cas N . 12672US01

7/16
PP
10/21/03
PATENT

In the Application of:

ROBERT CAREY ✓

Serial No.: 09/639,478 ✓

Filed: August 15, 2000

For: TECHNIQUES OF SELECTING
SECURITIES FOR A PORTFOLIO
USING BUYBACK RATIO AND
DIVIDEND YIELD ✓

Examiner: Nicholas D. Rosen

Group Art Unit: 3625
Confirmation No.: 9992

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on October 9, 2003.

By: Ronald E. Larson
Ronald E. Larson
Reg. No. 24,478

AMENDMENT A

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated July 10, 2003, kindly amend the above-identified application as follows:

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002

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TRANSMITTAL FORM		Application Number	
(to be used for all correspondence after initial filing)		09/639,478	
		Filing Date	
		August 15, 2000	
		First Named Inventor	
		Robert Carey	
Group Art Unit		3625	
Examiner Name		N. Rosen	
Attorney Docket Number		12672US01	
Total Number of Pages in This Submission		14	
ENCLOSURES (check all that apply)			
<div><div><input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO 1449/08A with references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</div><div><input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____</div><div><input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return receipt postcard</div></div>			
Remarks		The Commissioner is authorized to charge additional fees due or credit overpayments to Deposit Account 13-0017.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name		Ronald E. Larson, Esq. McAndrews Held & Malloy, Ltd.	
Signature		<i>Ronald E. Larson</i>	
Date		October 9, 2003	
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Name (Print/type)		Ronald E. Larson	Registration No. (Attorney/Agent)
Signature		<i>Ronald E. Larson</i>	Date
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